

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

: **Confirmation No. 2503**

Yoshio HATA et al.

: Docket No. 2001_0700A

Serial No. 09/857,943

: Group Art Unit 1711

Filed June 12, 2001

: Examiner Patricia Hightower

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PROCESS FOR PRODUCING POLYMER

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☒ This Information Disclosure Statement is submitted

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after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
- (2) ☒ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,

- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

6. ☒ Submitted herewith is EP 1 048 301A. This is a European counterpart of WO 99 36099 A which has been already submitted in an IDS. The Applicant has not previously submitted this English document because these documents are intermediate documents. However, since the process of the present invention is disclosed in these documents (see the disclosure of [0039]-[0060] of EP 1 048 301 A), the Applicant would like to bring such disclosure to the Examiner's attention.

Further, please note that this disclosure of the process of the present invention is not included in the Japanese application (JP 6412/98) on which the convention priority is claimed. This disclosure was added at the time of filing the European application, i.e., January 13, 1999. As mentioned in the response filed concurrently herewith, the present application is entitled to the priority date of December 15, 1998 and this disclosure does not affect the patentability of the present invention.

Also submitted herewith is a verified English translation of the Japanese Application No. 6412/98.

Respectfully submitted,

Yoshio HATA et al.

By Warren M. Cheek, Jr.
Warren M. Cheek, Jr.
Registration No. 33,367
Attorney for Applicants

WMC/dlk
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
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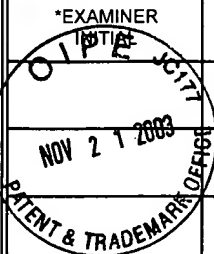
FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: November 21, 2003

ATTY DOCKET NO.
2001_0700ASERIAL NO.
09/857,943APPLICANT
Yoshio HATA et al.FILING DATE
June 12, 2001GROUP
1711RECEIVED
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TC 1700

U.S. PATENT DOCUMENTS

*EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	1 048 301 A1	11/2000	EP				
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Verified English Translation of Japanese Application No. 6412/98 filed on January 16, 1998.
	AP	Verified English Translation of priority document no. 356497/1998 filed December 15, 1998.
	AQ	

EXAMINER

DATE CONSIDERED